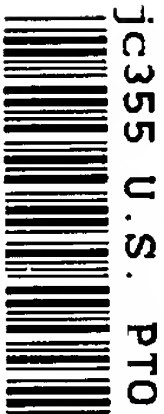


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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

jc997 U.S. PTO

10/090430



02/28/02

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on February 28, 2002 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL937779371US, addressed to the Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: SRI1P030/US-4149-4

First Named Inventor: Heim

Mary Tran

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
(Regular application claiming priority of a provisional)

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Jon Heim, Ronald E. Pelrine, Roy D. Kornbluh, and Joseph S. Eckerle**

For: **ELECTROACTIVE POLYMER ROTARY MOTORS**

Assigned to: SRI International

Priority of U.S. Provisional Application No. 60/273,108 filed on March 2, 2001 is claimed under 35 U.S.C. § 119(e).

**Application Elements:**

- ☒ **52** Pages of Specification, Claims and Abstract
- ☒ **17** Sheets of informal Drawings
- ☐ Combined Declaration and Power of Attorney
- ☐ Separate Declaration

**Accompanying Application Parts:**

- ☐ Do not publish this application. Nonpublication Request is attached.
- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ Separate Power of Attorney by Assignee
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☒ Limited Recognition Under 37 C.F.R. §10.9(b) for William J. Plut
- ☒ Return Receipt Postcard

Claim For Foreign Priority

☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_  
is claimed under 35 U.S.C. § 119.  
☐ The certified copy is enclosed. ☐ The certified copy will follow.

☐ Amend the specification by inserting before the first line the sentence:  
“This application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_  
filed on \_\_\_\_\_, the disclosure of which is incorporated herein by reference.”

Fee Calculation (37 CFR § 1.16)

☒ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

☒ **PLEASE DEFER FILING FEES AT THIS TIME.**

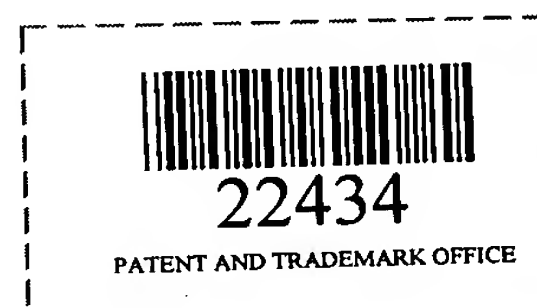
☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. \_\_\_\_\_).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

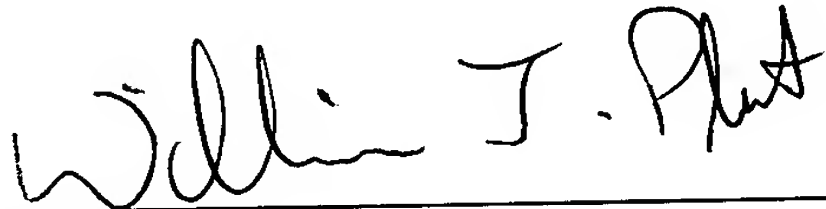
☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. SRI1P030).

☒ Please send correspondence to the following address:

**Customer Number 022434**



Date: February 28, 2002

  
**William J. Plut**  
Limited Recognition Under 37 C.F.R §10.9(b)